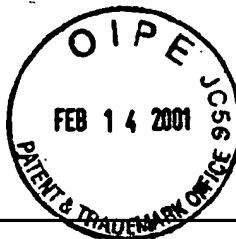


2186#4

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CERTIFICATE OF MAILING 37 C.F.R. § 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:	
<u>2/12/2001</u>	Name: <u>Fani Kontopoulos</u>
Date	Technology Center 2100

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William W. LEE et al.

Serial No. : 09/689,429

Filed : October 12, 2000

For : SMART HANDLE

Examiner : Unknown

Art Unit : 2186

February 12, 2001

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants also wish to make this Supplemental Information Disclosure Statement and PTO Form 1449 of record.

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In accordance with 37 C.F.R. §§1.97 and 1.98, applicants provide the following list of documents and the corresponding PTO Form 1449.

References

1. U.S. Patent No. 6,163,782 to SINGHAL, dated December 19, 2000;
2. Tom SPITZER, The promise of EJB, (the Enterprise JavaBean specification is the centerpiece of Sun Microsystems' Java Platform for the Enterprise) (Company Business and Marketing), August 1998;
3. Antone GONSALVES, Enterprise JavaBean Services Help Fill Void in the Middle, August 1998; and,
4. Antone GONSALVES, Café lives on in WebGain Studio - BEA spinoff recast Symantec tools, Product Announcements, March 2000.

REMARKS

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on the attached copy of the FORM PTO-1449 be considered by the Examiner and made of record. These references were cited in the corresponding International Application No. PCT/US00/28172 (copy enclosed). Copies of the cited references enclosed.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). Applicant respectfully requests that the listed documents be made of record in the present case.

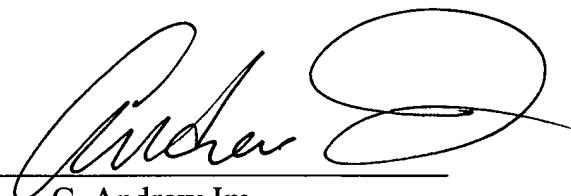
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Applicants believe no fee is required for filing these papers. However, the Commissioner is hereby authorized to deduct any fee due, or credit any overpayment to Deposit Account No. 500624.

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.

By

A handwritten signature in black ink, appearing to read "Andrew Im". It is written in a cursive style with a large, stylized "A" at the beginning.

C. Andrew Im

Reg No. 40,657

666 Fifth Avenue
New York, N.Y. 10103
(212) 318-3000
Enclosures

Form PTO-1449 (Modified)
(Rev. 11/92)

U.S. Dept. of Commerce
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09/689,429

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98(b))

A circular black ink stamp from the U.S. Patent and Trademark Office. The outer ring contains the words "U.S. PATENT AND TRADEMARK OFFICE" at the top and "TM" at the bottom. The inner circle contains the date "FEB 14 2001" in the center, surrounded by the letters "JC56" and "O P T E".

APPLICANT

William W. LEE et al.

FILING DATE

October 12, 2000

GROUP

2186

~~U.S. GOVERNMENT DOCUMENTS~~

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS

(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

		Tom SPITZER, The promise of EJB, (the Enterprise JavaBean specification is the centerpiece of Sun Microsystems' Java Platform for the Enterprise) (Company Business and Marketing). August 1998
		Antone GONSALVES, Enterprise JavaBean Services Help Fill Void in the Middle, August 1998
		Antone GONSALVES, Caf lives on in WebGain Studio - BEA spinoff recast Symantec tools, (Product Announcements), March 2000

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.